A

FORM PTO-1082

UTILITY PATENT APPLICATION TRANSMITTAL

Box PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:
Transmitted herewith for filing is the patent application of:

    Inventor(s): **Chih-Kung LEE, Yu-Hsiang HSU and Der-Chang Hsieh**
    For: <u>PIEZOELECTRIC TRANSDUCER APPARATUS HAVING INDEPENDENT GAIN AND PHASE CHARACTERISTICS FUNCTIONS</u>

Enclosed are:
  <u>X</u> Fee Transmittal Form (e.g., PTO/SB/17)
  <u>X</u> Applicant Claims Small Entity Status
  <u>X</u> Specification, Claims, and Abstract (36 pages)
  <u>23</u> Sheet(s) of drawing   (<u>X</u> formal, ___ informal)
  ____ Form PTO-1595 and an assignment of the invention to:_____
  <u>X</u> A certified copy of <u>TAIWAN</u> Application No. <u>89117640</u> filed on <u>August 30, 2000</u> from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, <u>X</u> will follow ____is enclosed.
  ____ An associate Power of Attorney.
  ____ Combined Declaration and Power of Attorney.
  ____ Information Disclosure Statement, Form PTO 1449, and ___ cited references
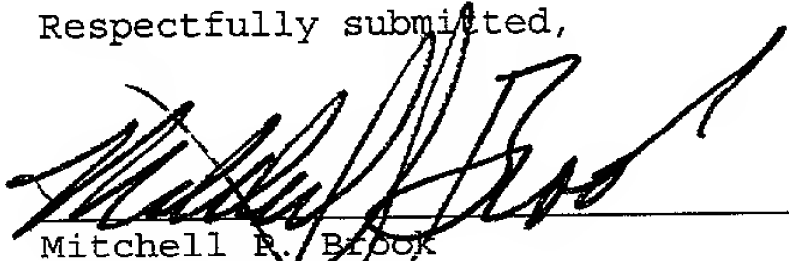 ____ Request and Certification under 122(b)
  <u>X</u> Postcard
If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

  ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No.:_____
Examiner:_____   Group/Art Unit:_____

  <u>X</u> Check in the amount of <u>$355.00</u> to cover the filing fee is enclosed.
  ____ Check in the amount of <u>$0.00</u> to cover the Assignment Recordation Fee.

  <u>X</u> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.
     A duplicate copy of this sheet is enclosed.
    <u>X</u>   Any additional filing fees required under 37 CFR 1.16.
    <u>X</u>   Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Mitchell B. Brook
Registration No. 32,967
c/o   BAKER & McKENZIE
      101 West Broadway, 12th Floor
      San Diego, California 92101
      (619) 236-1441

580676v1

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known	
Application Number	**To be assigned**
Filing Date	**Filed herewith**
First Named Inventor	**Chih-Kung LEE et al.**
Examiner Name	**To be assigned**
Group Art Unit	**To be assigned**
Attorney Docket No.	**37261-670006**

TOTAL AMOUNT OF PAYMENT ($) **355.00**

METHOD OF PAYMENT

1. ☑ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to·

Deposit Account Number: **02-0410**

Deposit Account Name: **Baker & McKenzie**

☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1 17

☑ Applicant claims small entity status. See 37 CFR 1.27

2. ☑ **Payment Enclosed:**
☑ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) **355.00**

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	**17** -20** = **0**	X **0** =	**0.00**
Independent Claims	**2** - 3** = **0**	X **0** =	**0.00**
Multiple Dependent		=	

Large Entity		Small Entity		Fee Description
Fee Code	Fee ($)	Fee Code	Fee ($)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) **0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid   **SUBTOTAL (3)** ($) **0.00**

SUBMITTED BY

Name (Print/Type)	Mitchell P. Brook	Registration No (Attorney/Agent)	32,967	Telephone	619 236-1441
Signature	*[signature]*			Date	8/30/01

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chih-Kung LEE et al. Serial No.: To be assigned Filed: Filed herewith For: **TITLE**	) Art Unit: To be assigned)) Examiner: To be assigned))))))))

San Diego, California 92101
August 30, 2001

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 904 935 533 US

Date of Deposit: August 30, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of $355.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (36 pages), Formal Drawings (FIGS. 1-36 on 23 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

_____
Karen M. Cruz

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